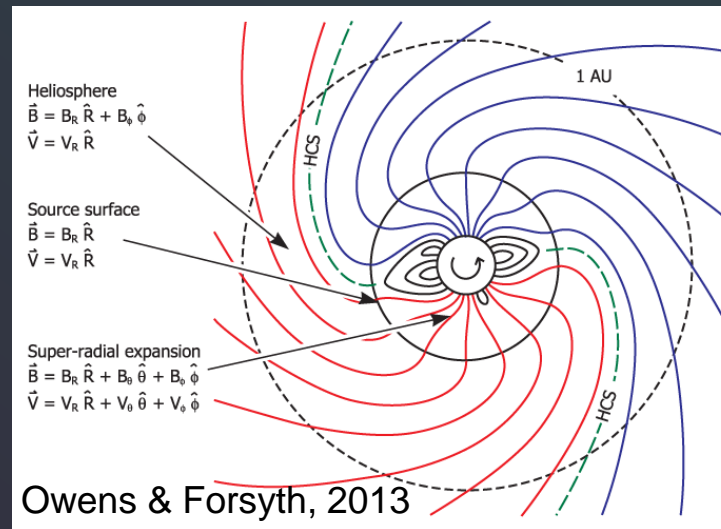
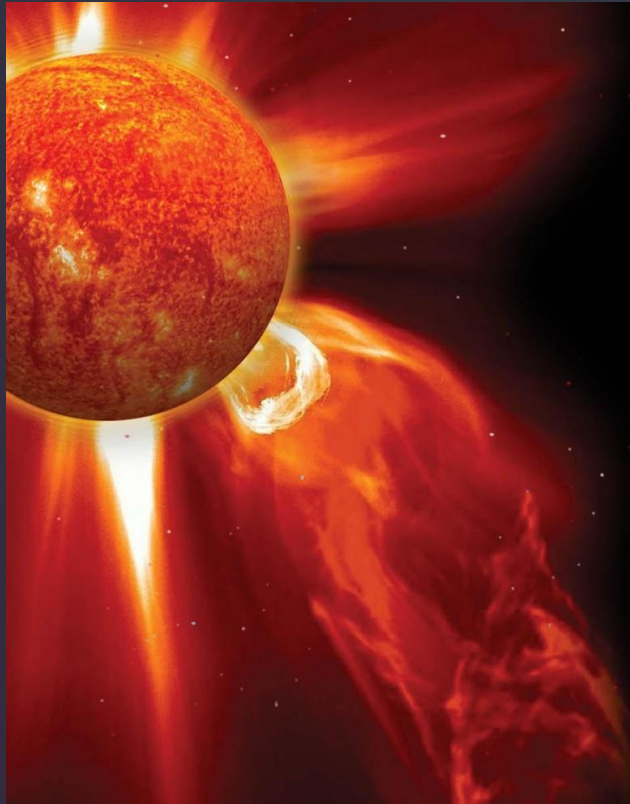


# The origin and propagation of Solar Energetic Particles and their impact on Earth

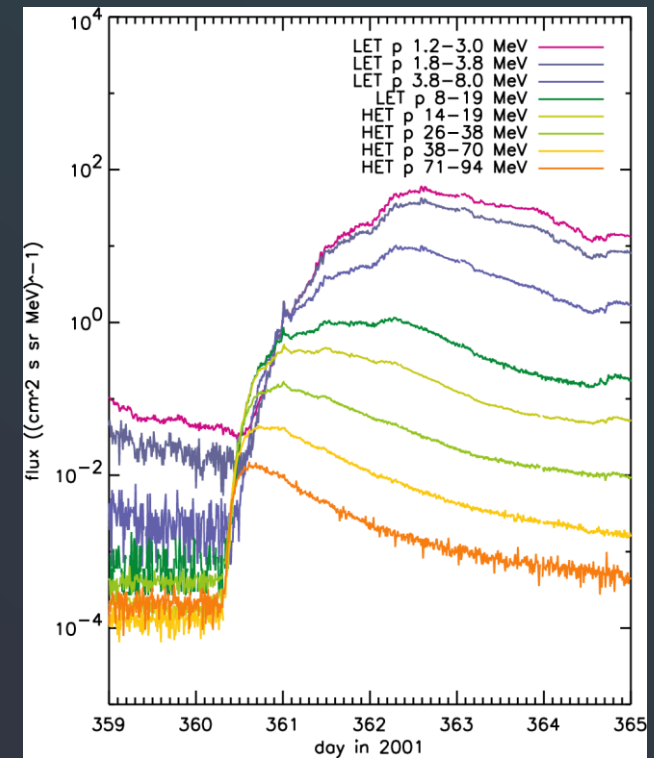
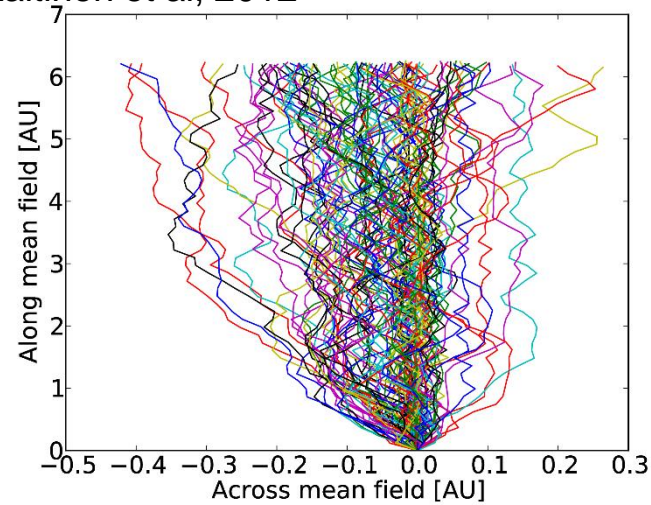
Silvia Dalla

University of Central Lancashire, Preston, UK

# The problem



Laitinen et al, 2012

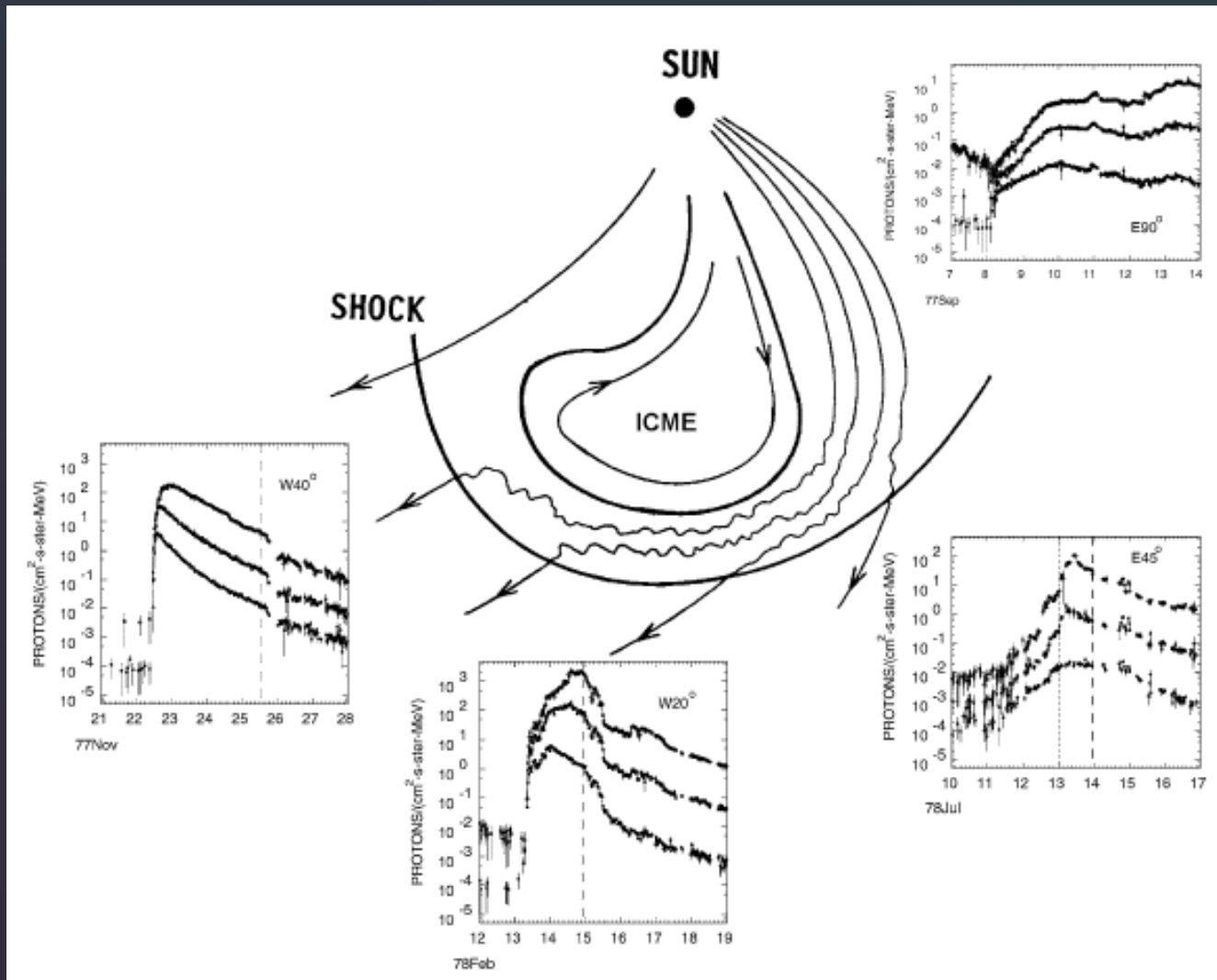


# Outline

- Key observations and impact
- 2-class paradigm
- Interplanetary propagation
- Approaches to modelling for Space Weather

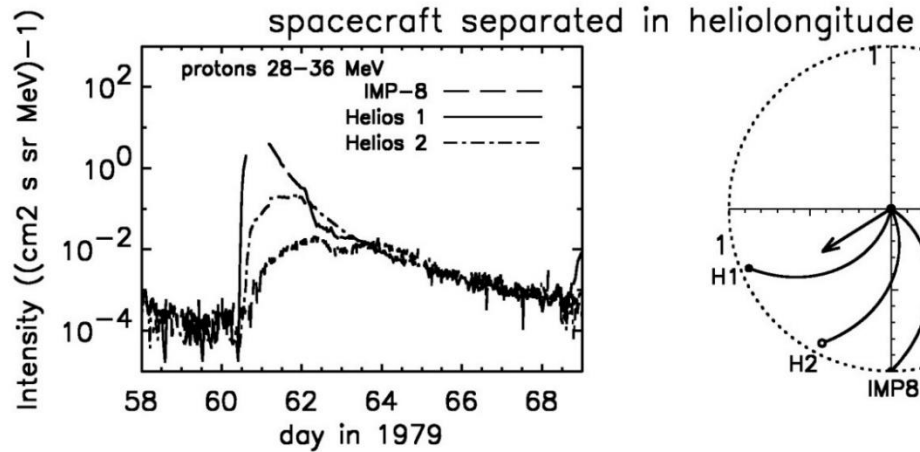
# Key observations and impact

# East-West variation of intensity profiles

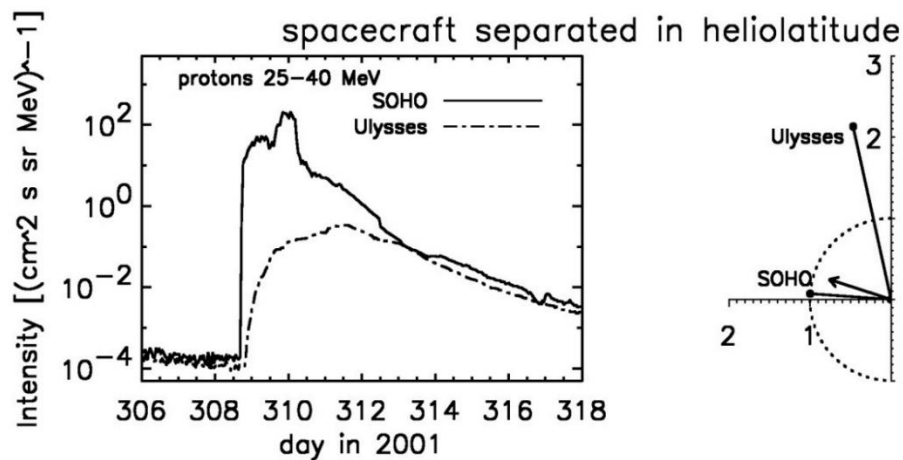
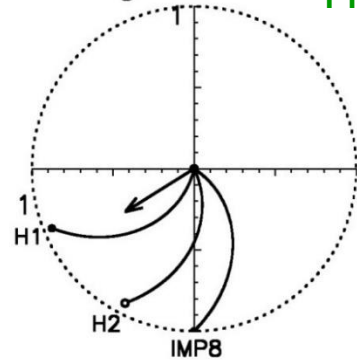


Adapted from Cane et al, 1988

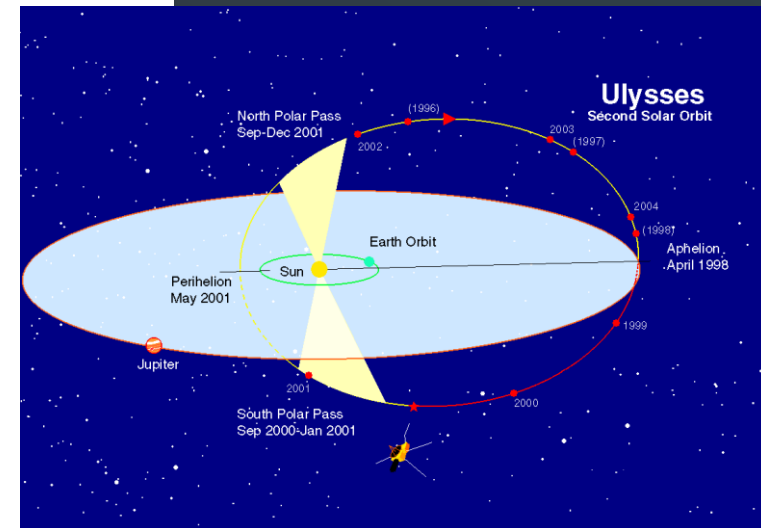
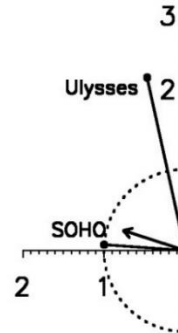
# Heliolongitude/heliolatitude variation



Helios 1 & 2

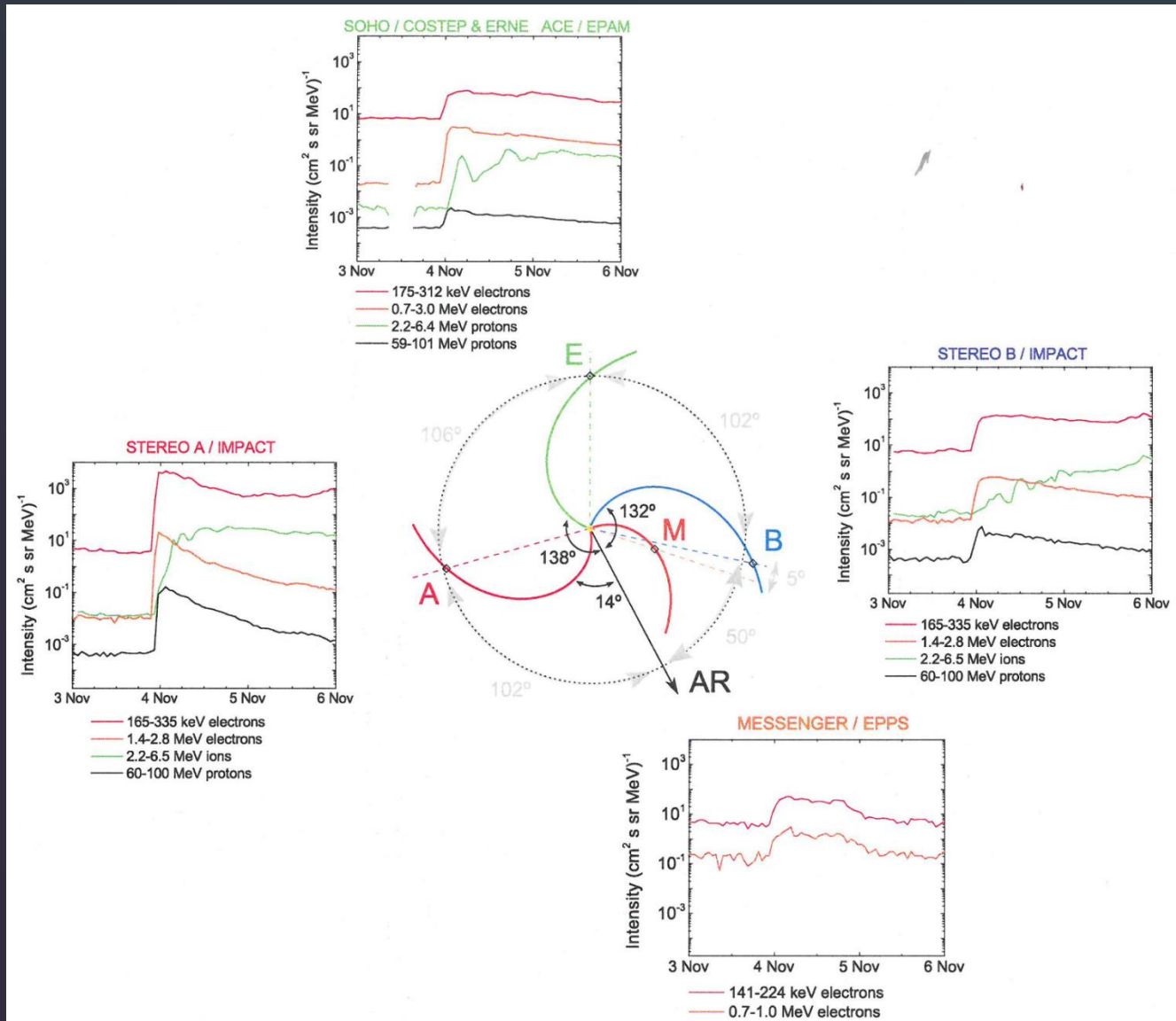


Ulysses



Klecker et al, 2006

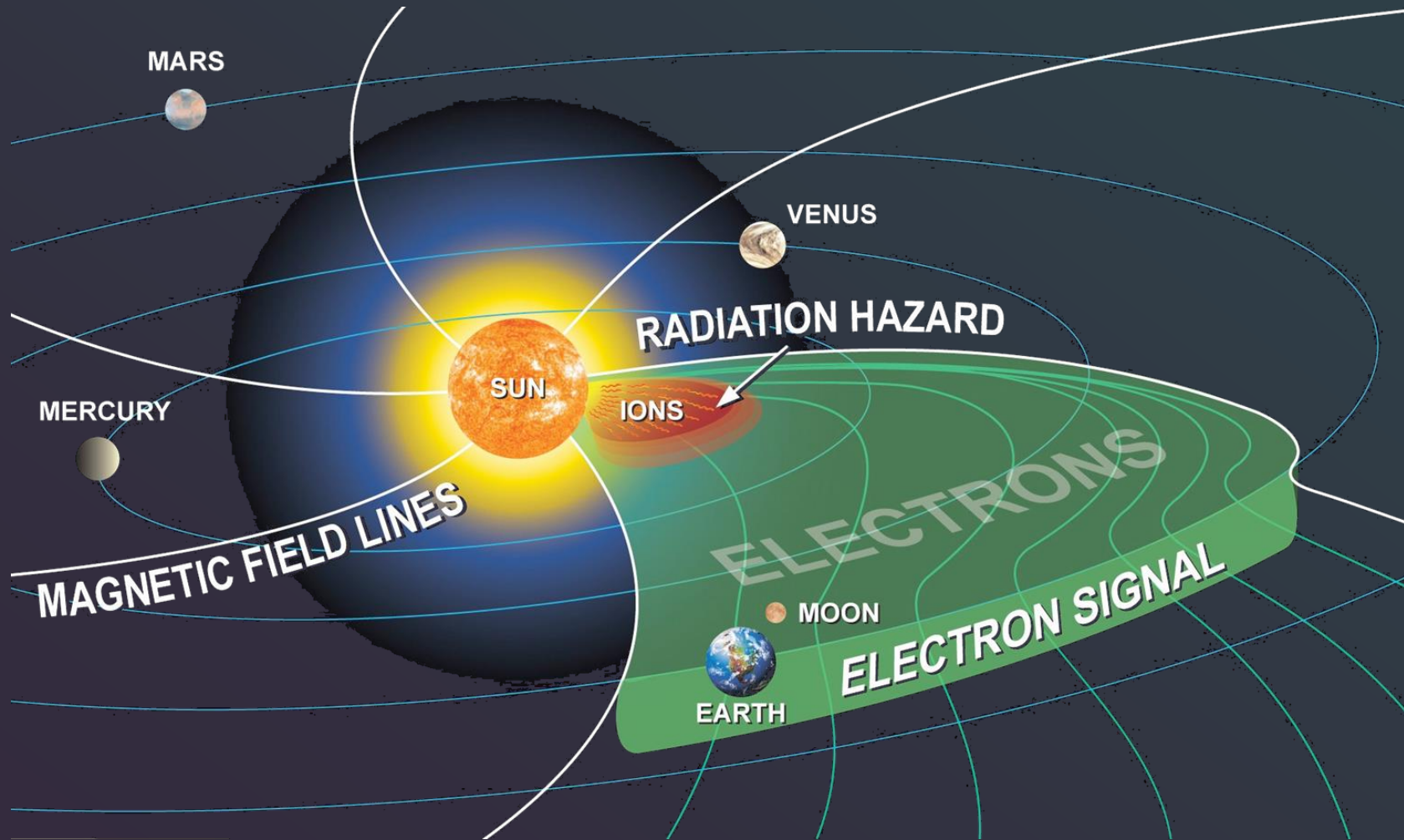
# 360° SEP event



Gomez-Herrero et al, 2015



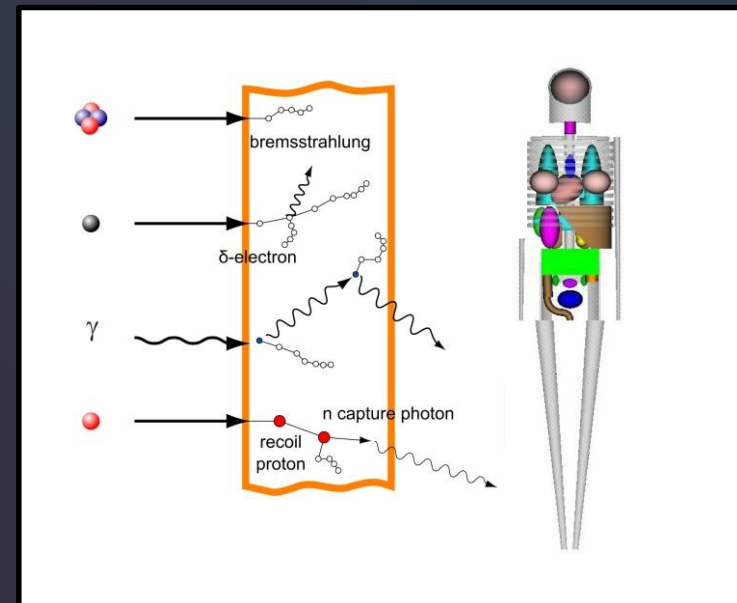
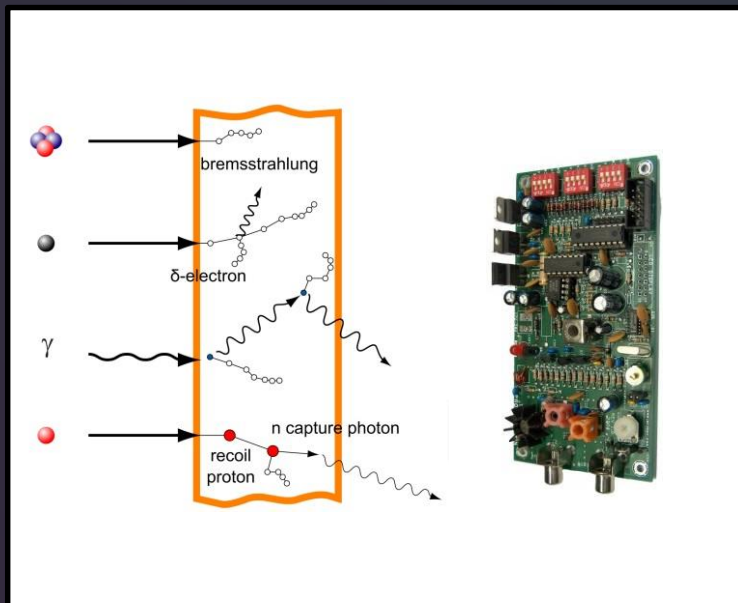
# Impact



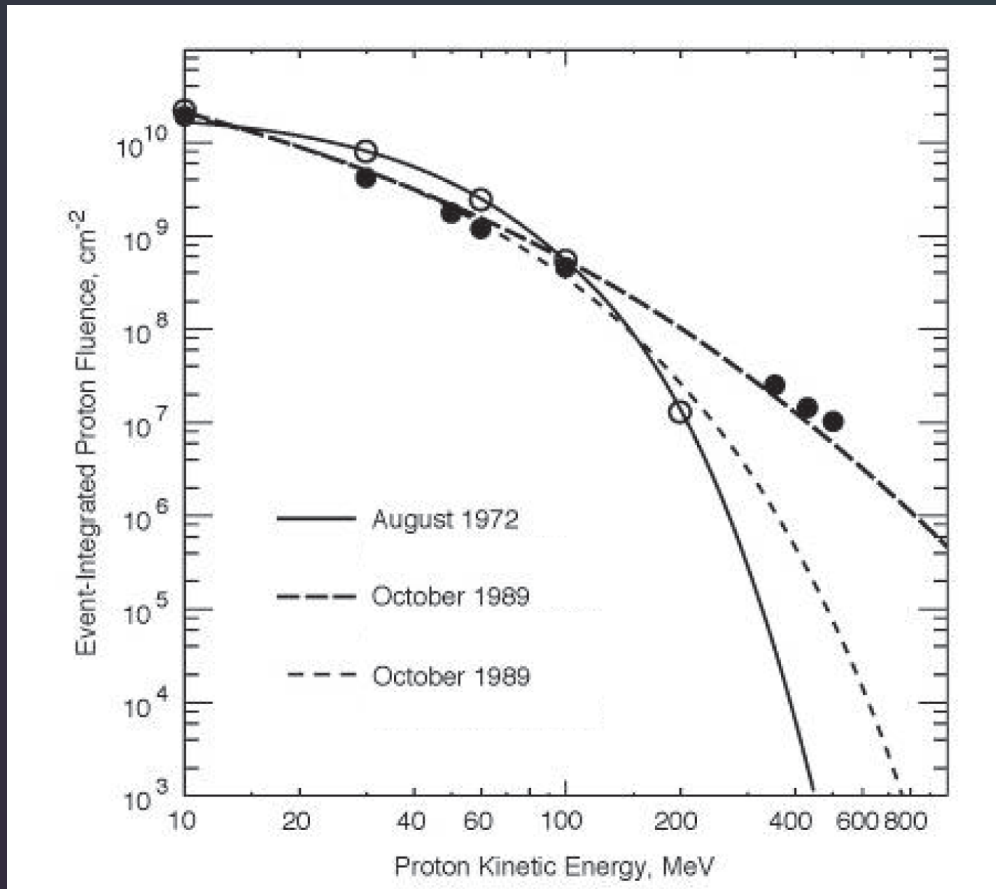


# Impact scenarios

- Radiation effects on humans, electronic components on spacecraft
- Disruption to communications, effects on stratosphere and mesosphere



# Radiation dose calculations

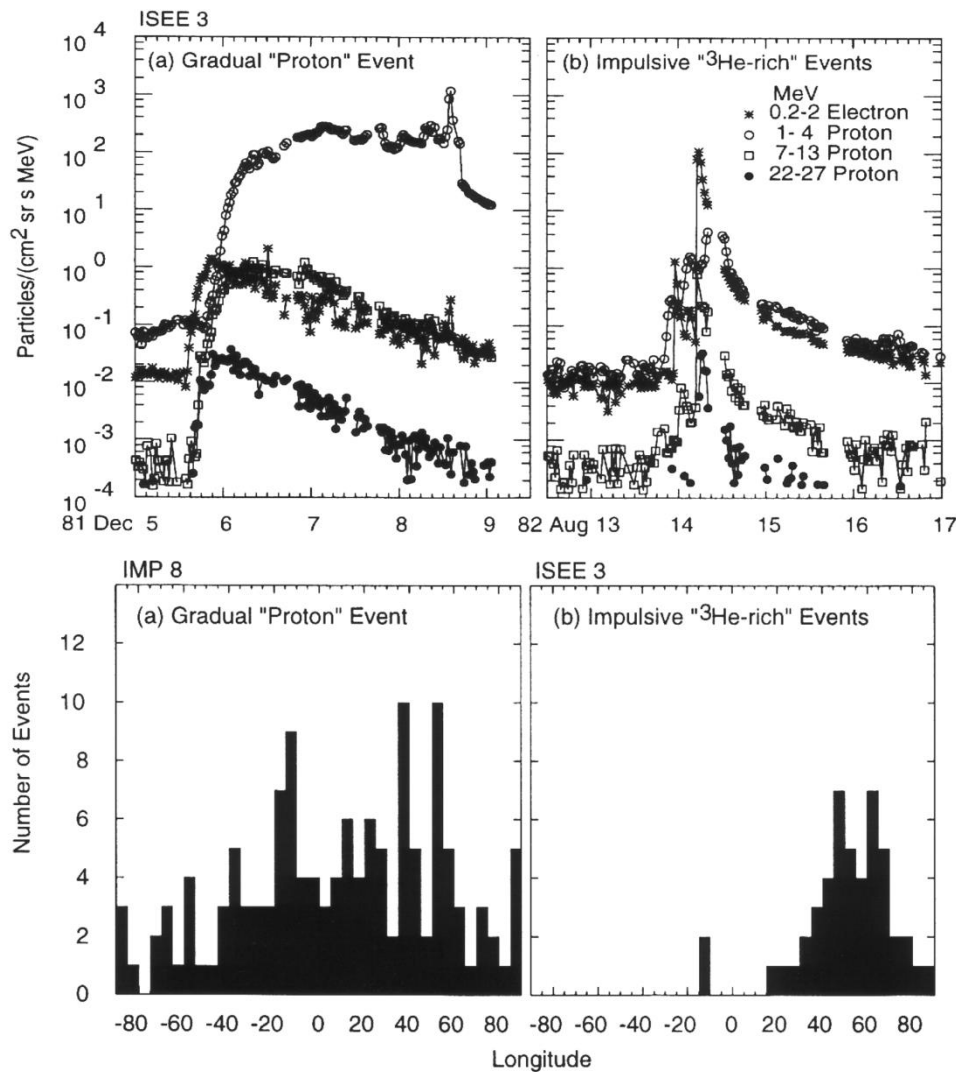


- information on SEP energy spectrum required up to high energies (ideally with time evolution)

US NRC Report on  
Space Radiation Risk  
in the New Era of  
Space Exploration,  
2008

# 2-class paradigm

# 2-classes of SEP events

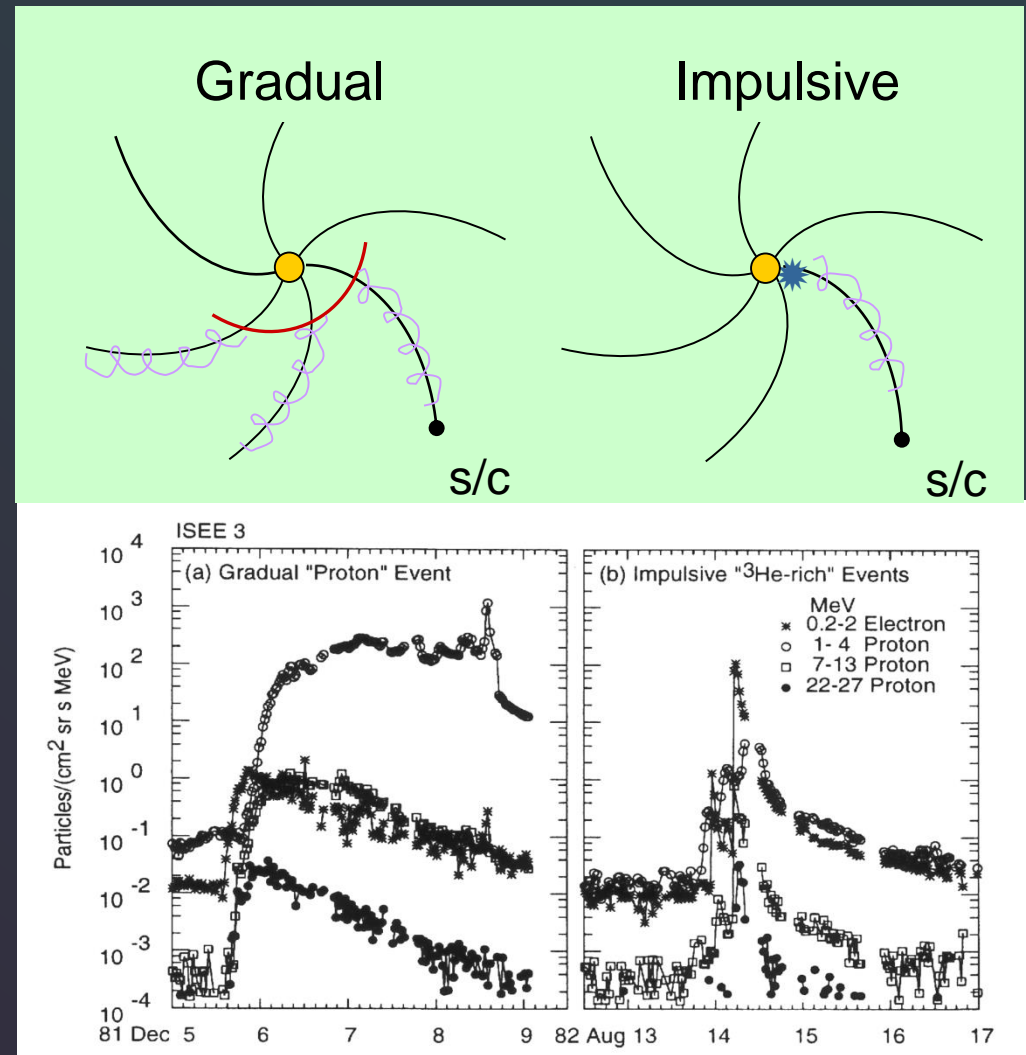


	<u>Gradual</u>	<u>Impulsive</u>
Event duration	days	few hours
Electron/proton	low	high
He 3 / He 4	coronal	coronal*1000
Longitude of solar event	any	W20-W90
Fe/O	coronal	coronal*10
Fe mean charge	15	20
<u>Source of particles</u>	<u>CME shock</u>	<u>Flare</u>

Reames, 1999

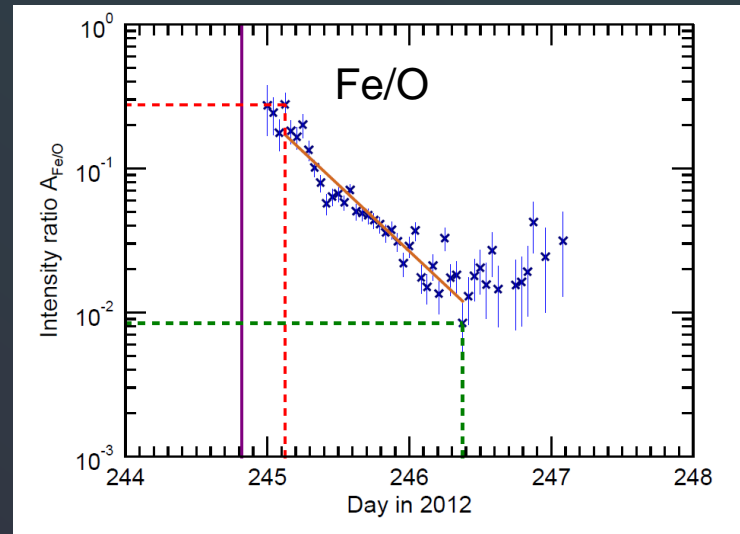
# 2-class paradigm

- Wide spread in longitude results from extended source: travelling CME-driven shock
- In this model, propagation is assumed to be 1D and SEP profiles are shaped mostly by acceleration process

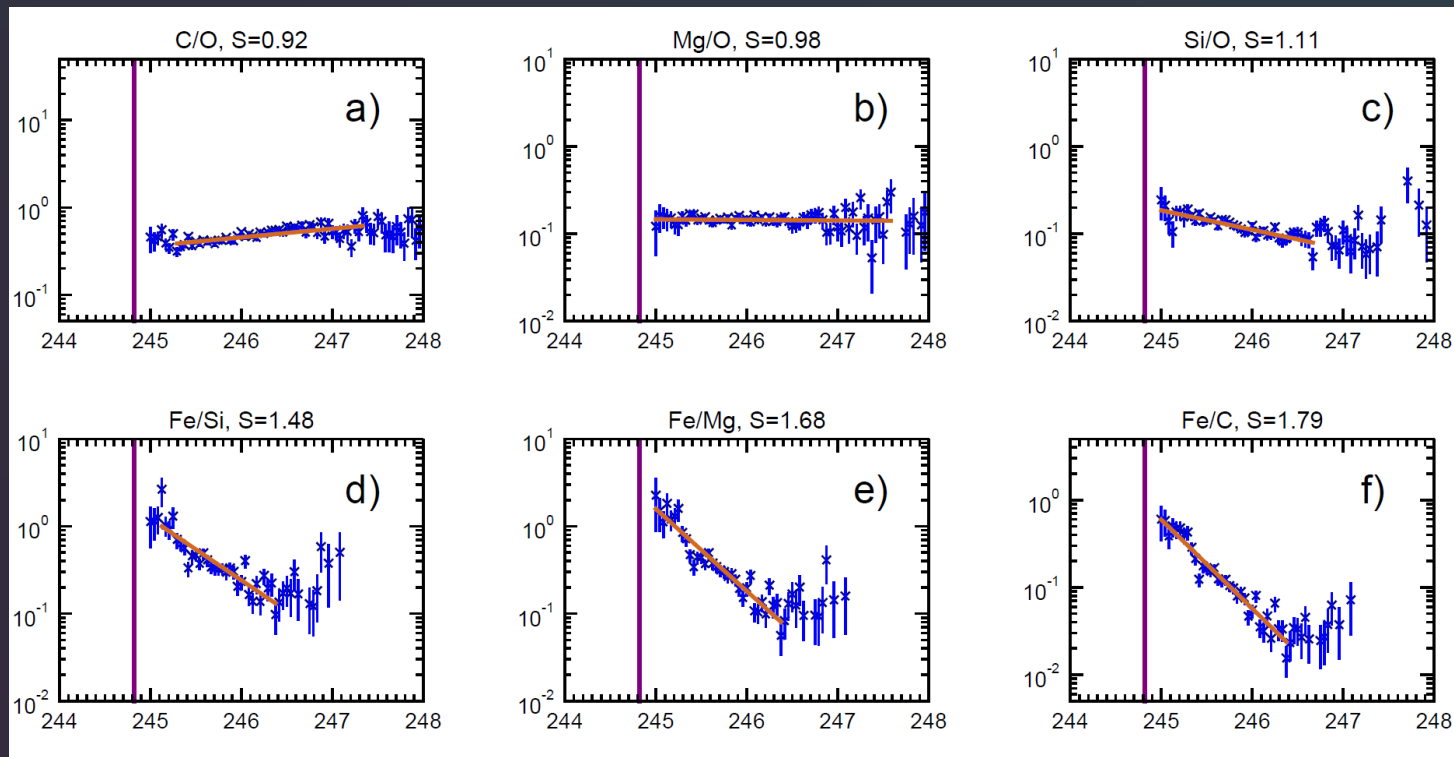


Reames, 1999

# Time variation of ionic ratios

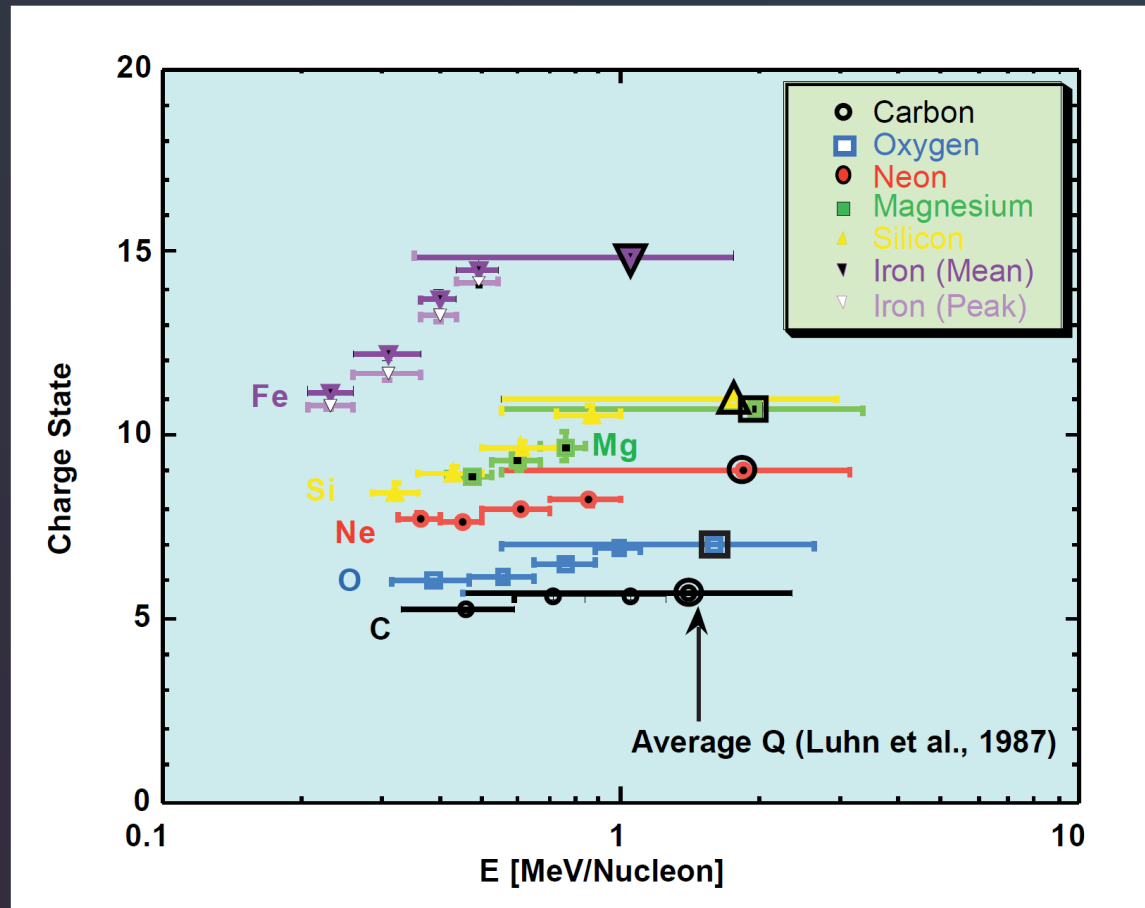


Zelina et al  
2017





# Energy dependence of charge states



Möbius et al, 1999

# Interplanetary propagation

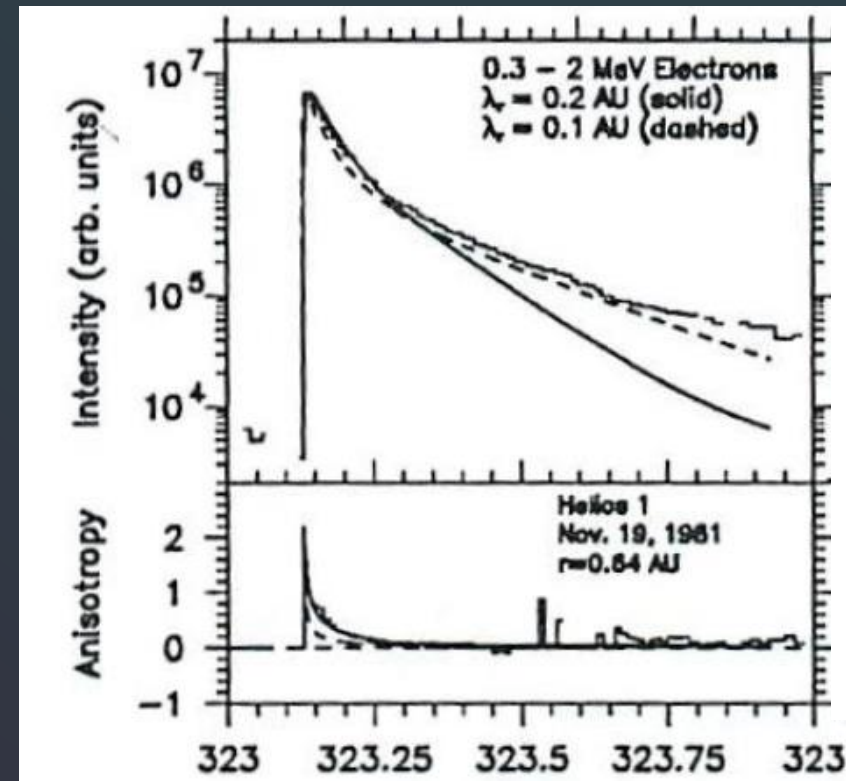
# Transport models

- Classic description is kinetic, eg focussed transport equation

$$\frac{\partial f}{\partial t} + \mu v \frac{\partial f}{\partial s} + \frac{1 - \mu^2}{2\zeta} v \frac{\partial f}{\partial \mu} - \frac{\partial}{\partial \mu} \left( \kappa(\mu) \frac{\partial f}{\partial \mu} \right) = Q(r, v, t)$$

Roelof 1969

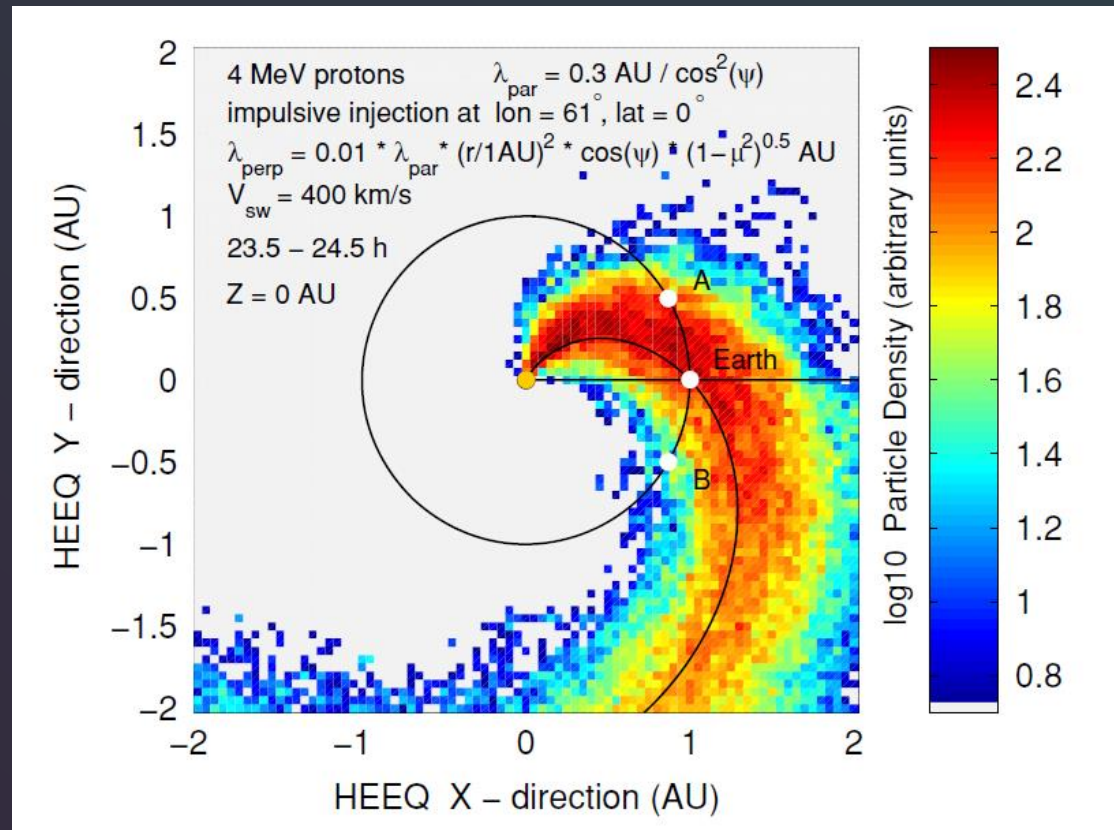
- 1D approach: assumes that particles are tied to field lines – no propagation across the field



Kallenrode, 1993

## 3D transport models

- 3D models including perpendicular transport (Zhang et al 2009, Dröge et al 2011). Requires  $\lambda_{\parallel}$ ,  $\lambda_{\perp}$

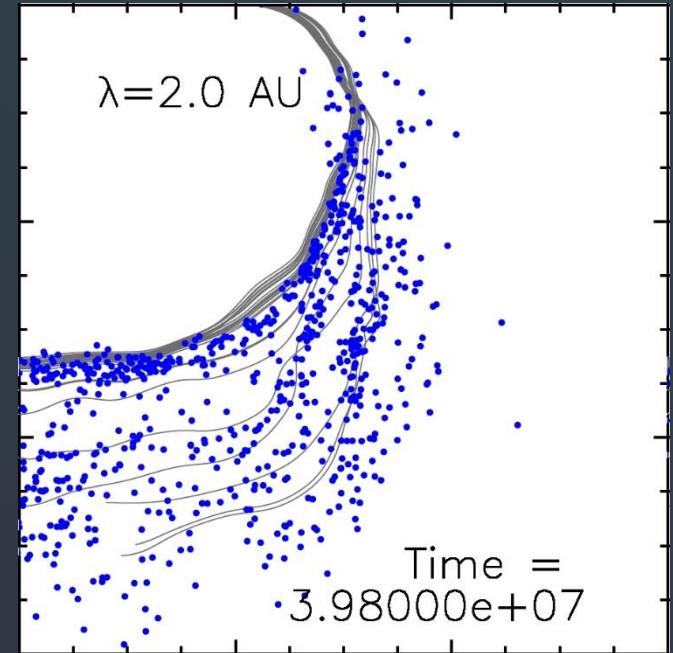


Dröge et al 2011

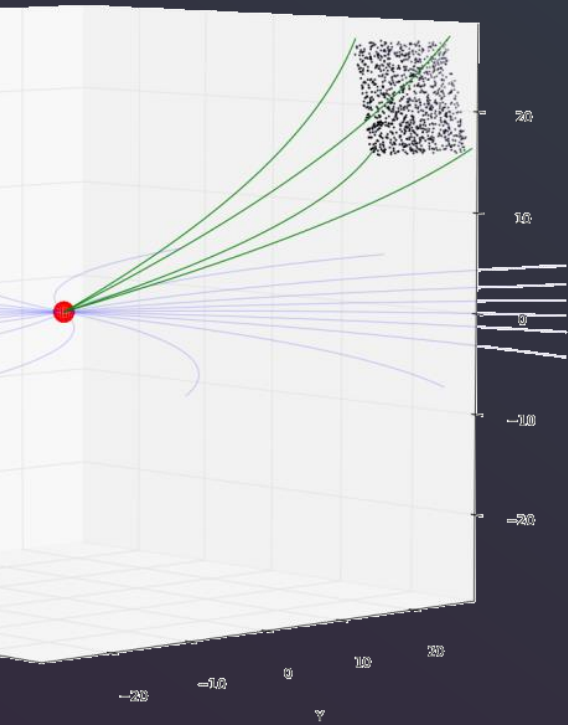
## 3D full orbit propagation code

- Integrate test particle trajectories in specified magnetic and electric fields (Dalla et al 2005, Marsh et al 2013)
- Effect of small scale turbulence implemented as 'ad-hoc scattering' according to mean free path  $\lambda$

$$\begin{aligned}\frac{d\mathbf{x}}{dt} &= \frac{\mathbf{p}}{m\gamma} \\ \frac{d\mathbf{p}}{dt} &= q \left( \mathbf{E} + \frac{1}{c} \frac{\mathbf{p}}{m\gamma} \times \mathbf{B} \right)\end{aligned}$$

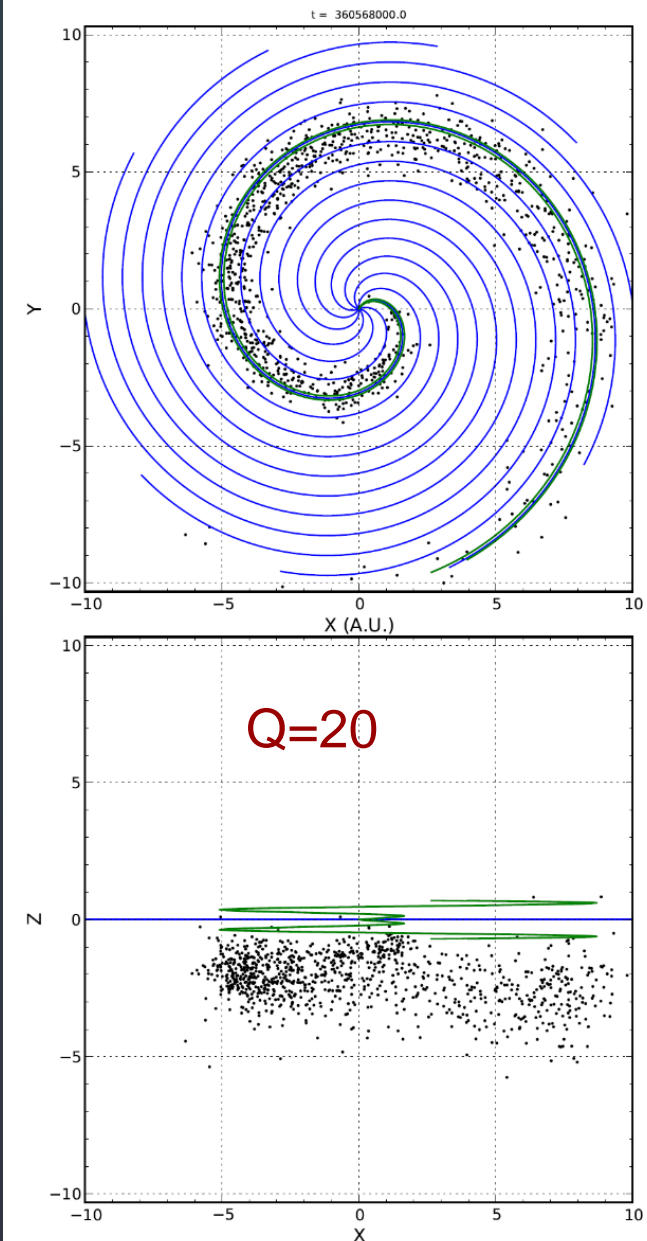


# Guiding centre drifts



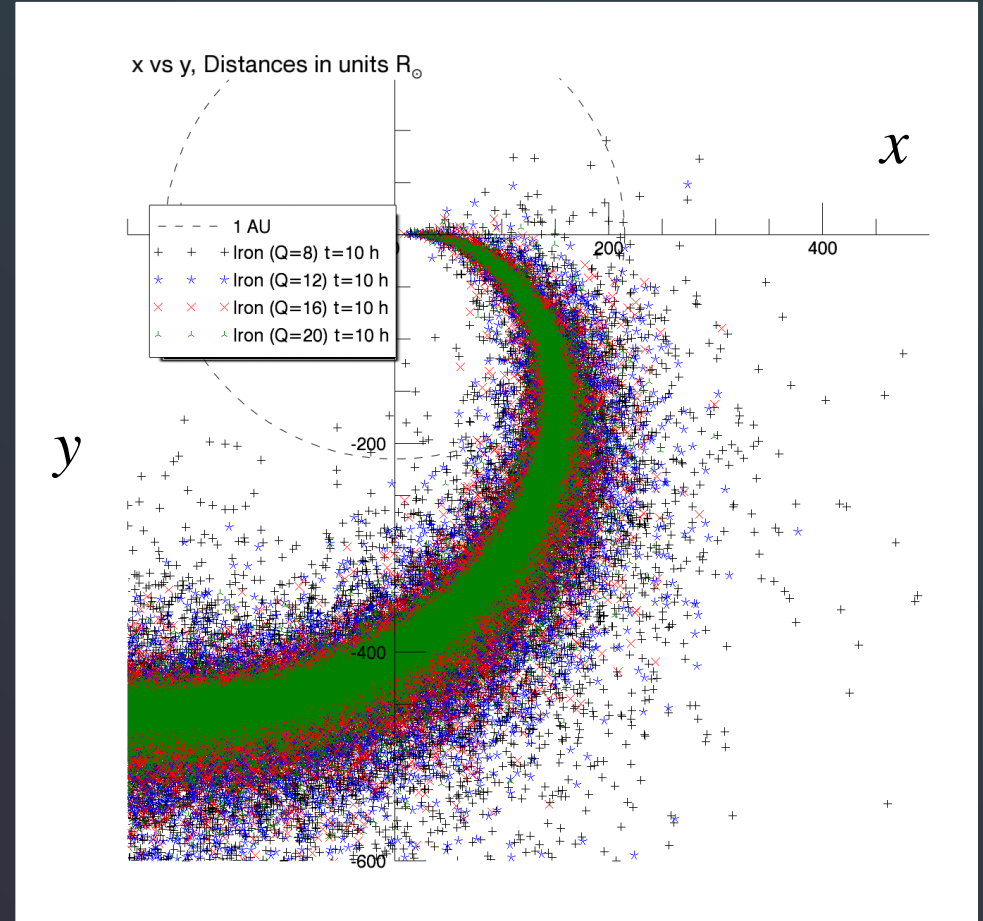
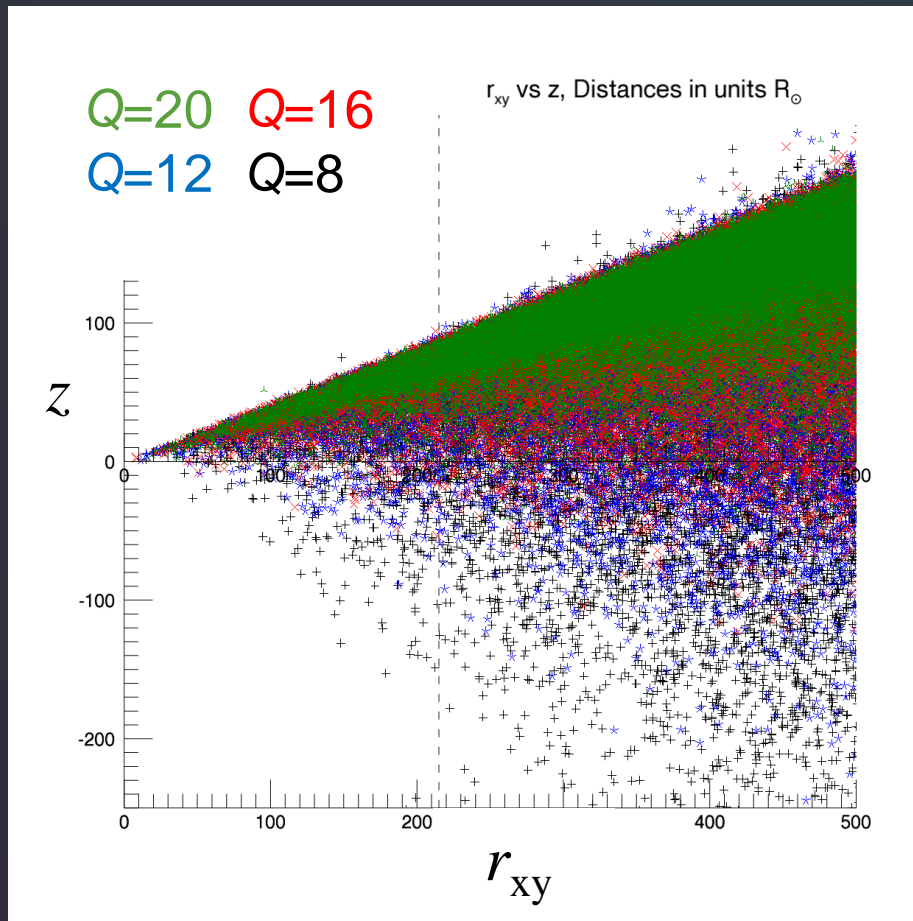
- Due to curvature and gradient of Parker spiral [Dalla et al 2013]
- Fe at 100 Mev/nuc
- $t=100$  hrs

Marsh et al, 2013

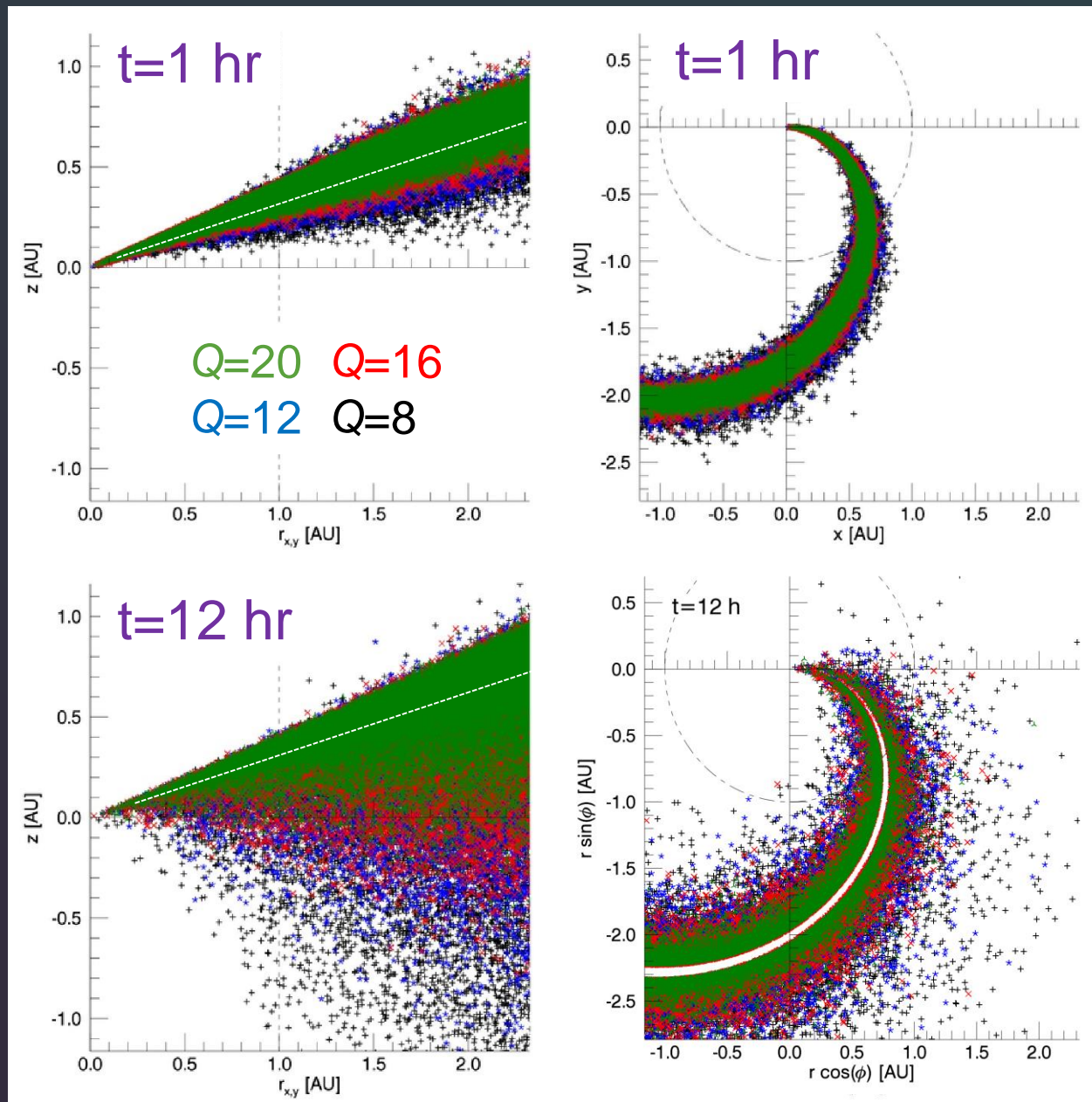




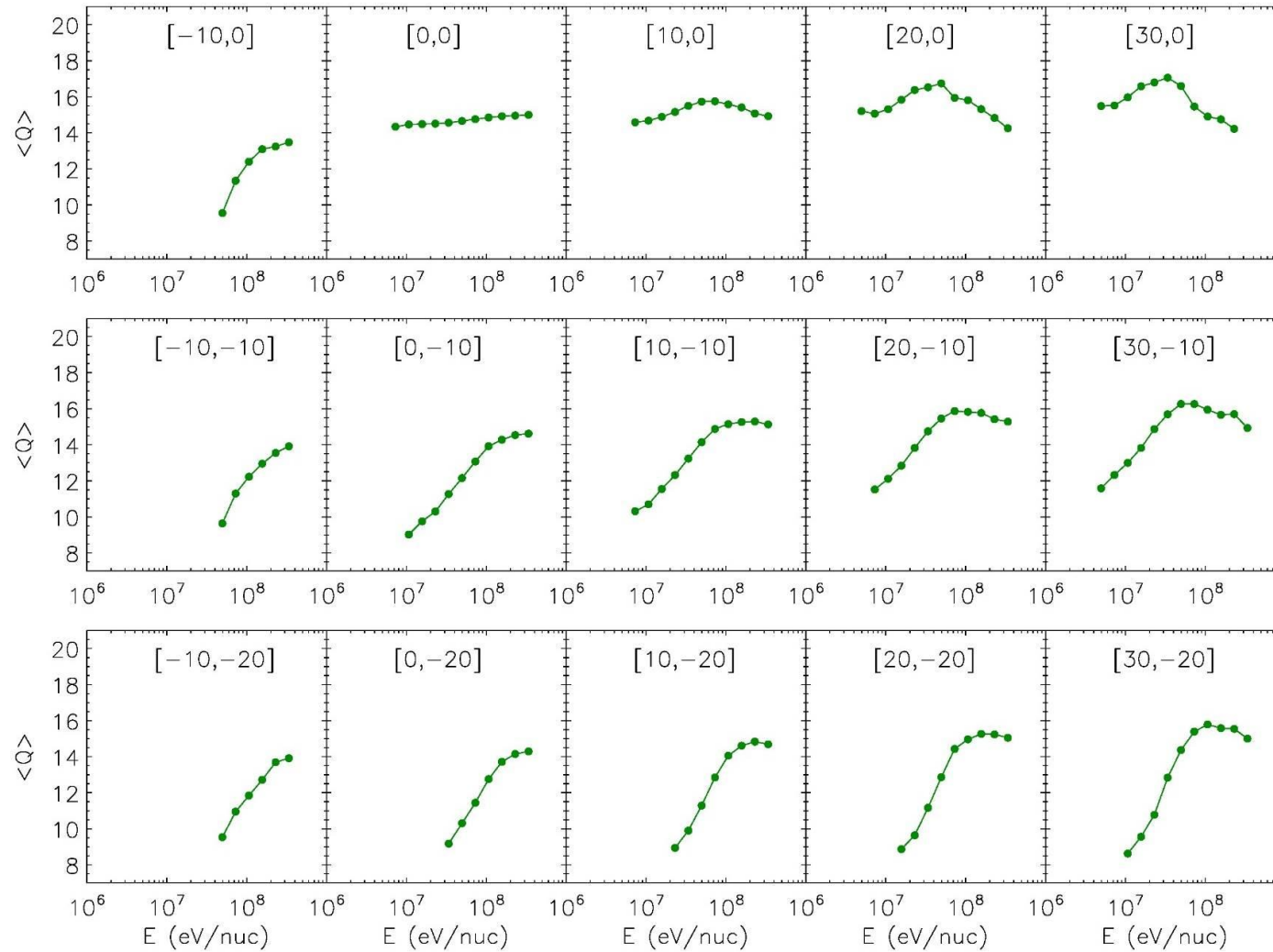
# Fe propagation



# Fe SEP ions



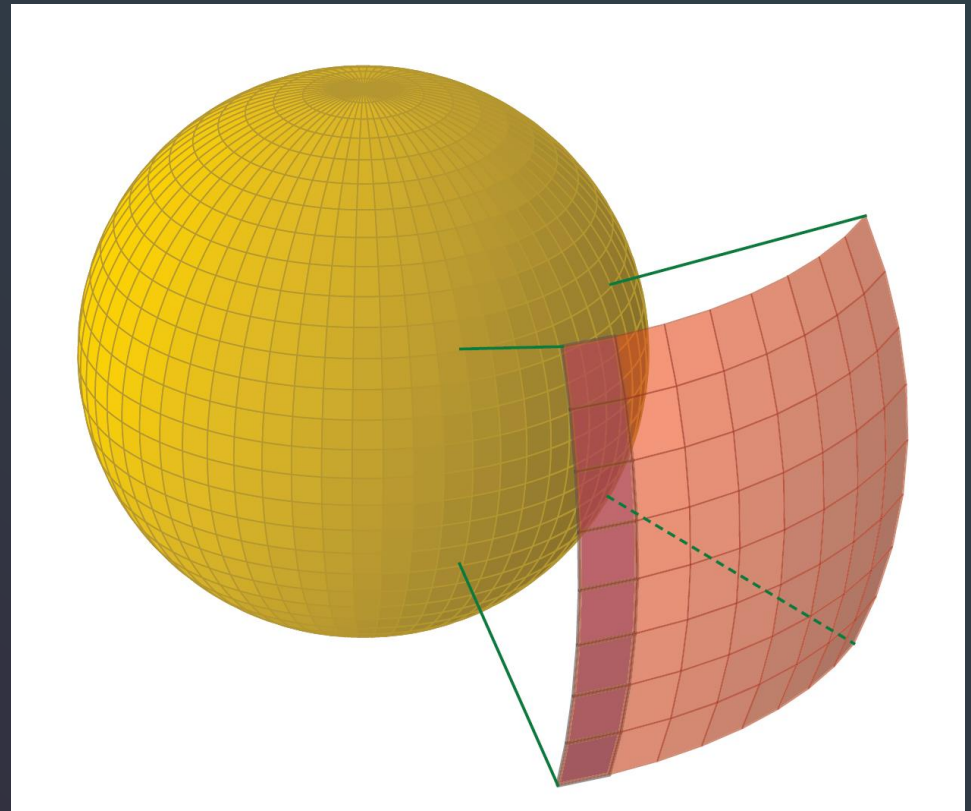
# 1 AU energy distribution of Q



Dalla et al,  
ApJ 2017

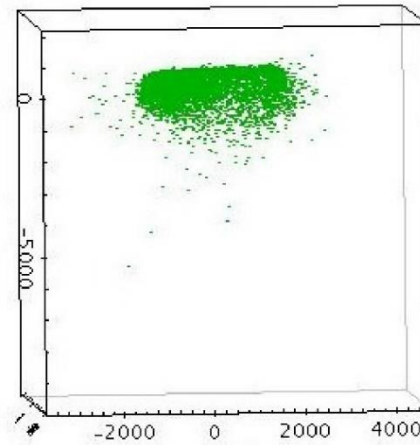
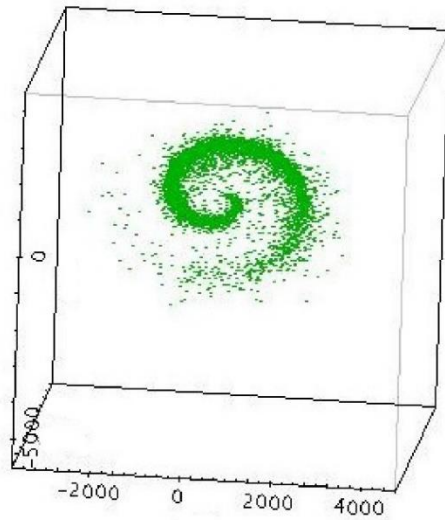
# Shock-like injection region

- Effect of variation of SEP acceleration efficiency along shock front
- Latitude dependence of drift velocity
- Overall 3D propagation will 'process' the injection properties

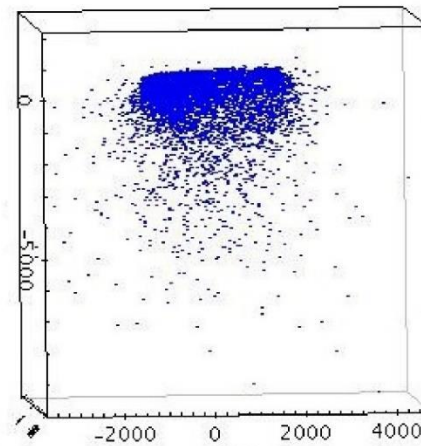
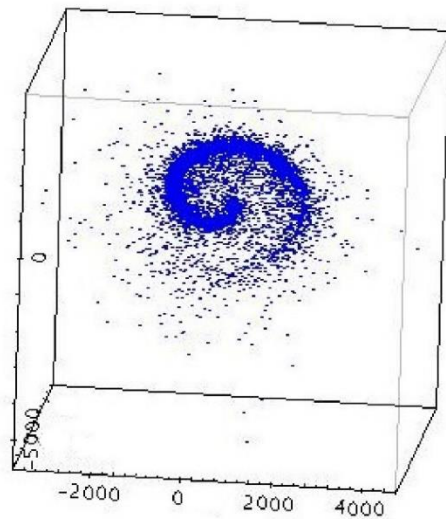




# Transport of Fe and O ions

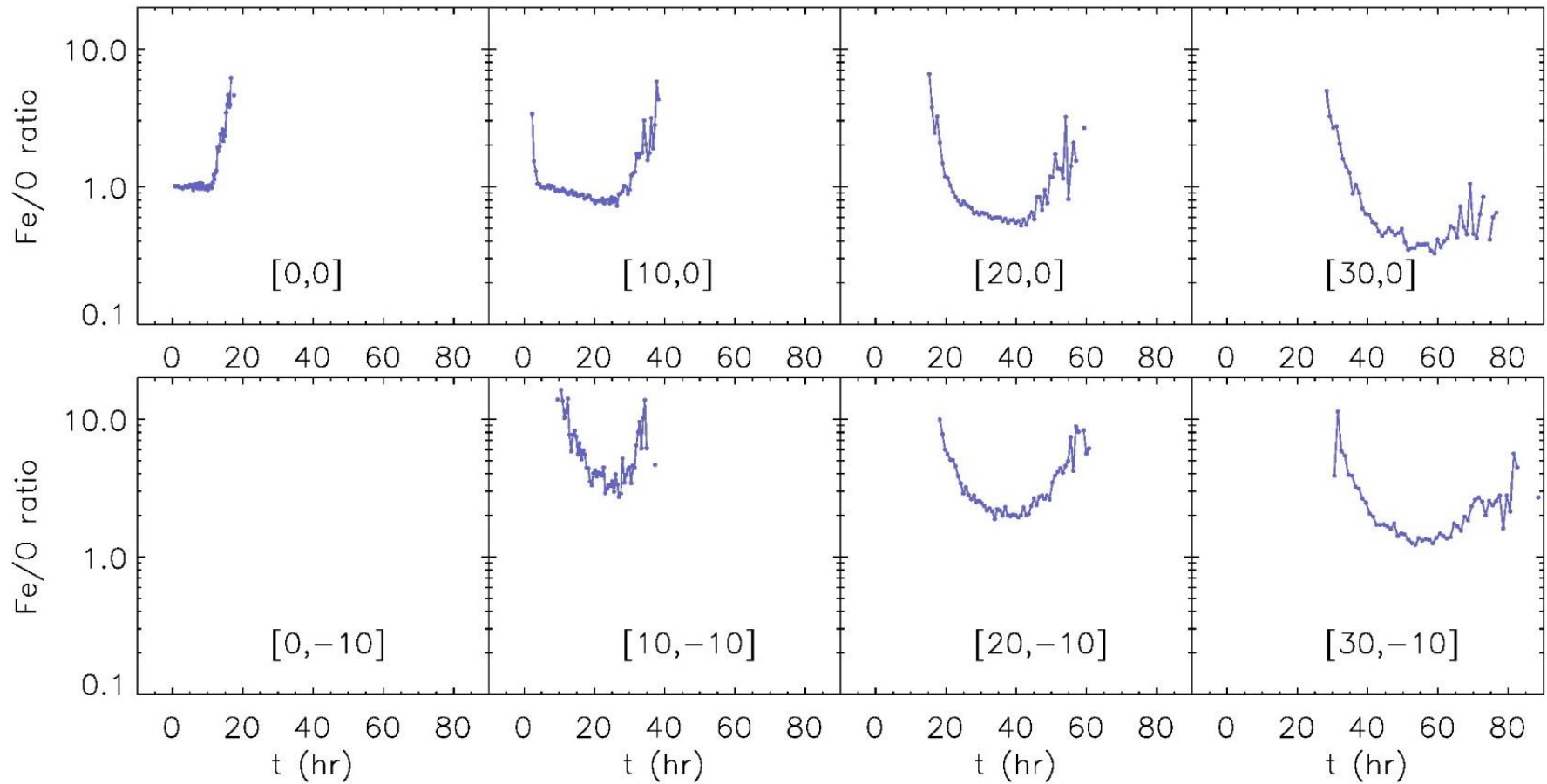


O



Fe

# Fe/O ratio

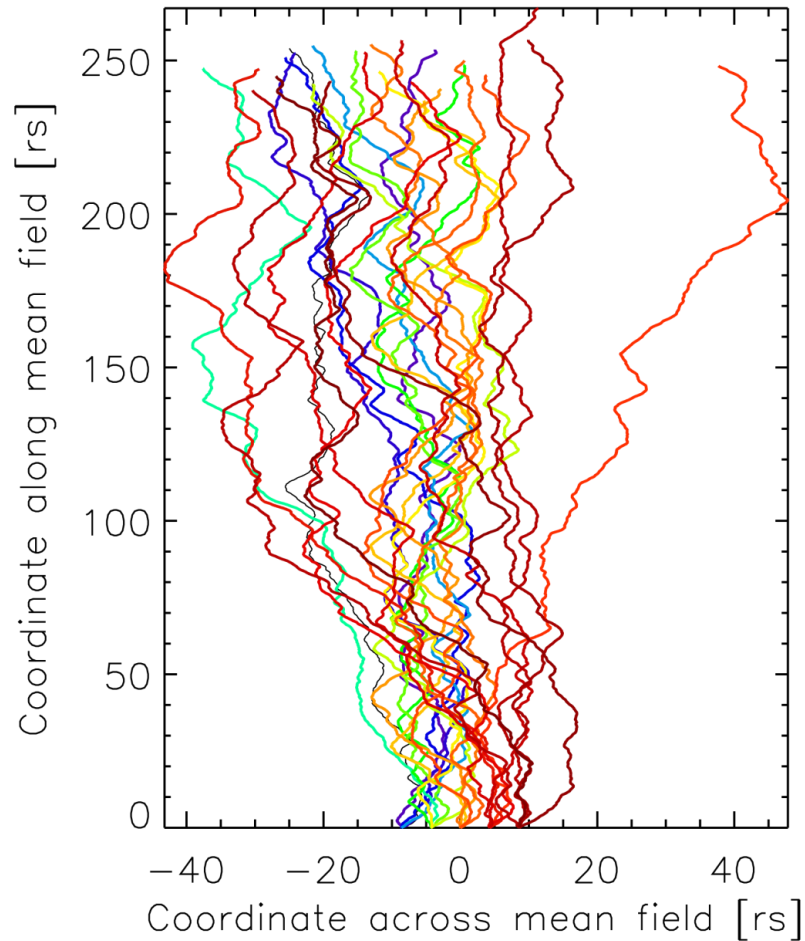


Fe and O at 10-30 MeV/nuc

Dalla et al, A&A 2017

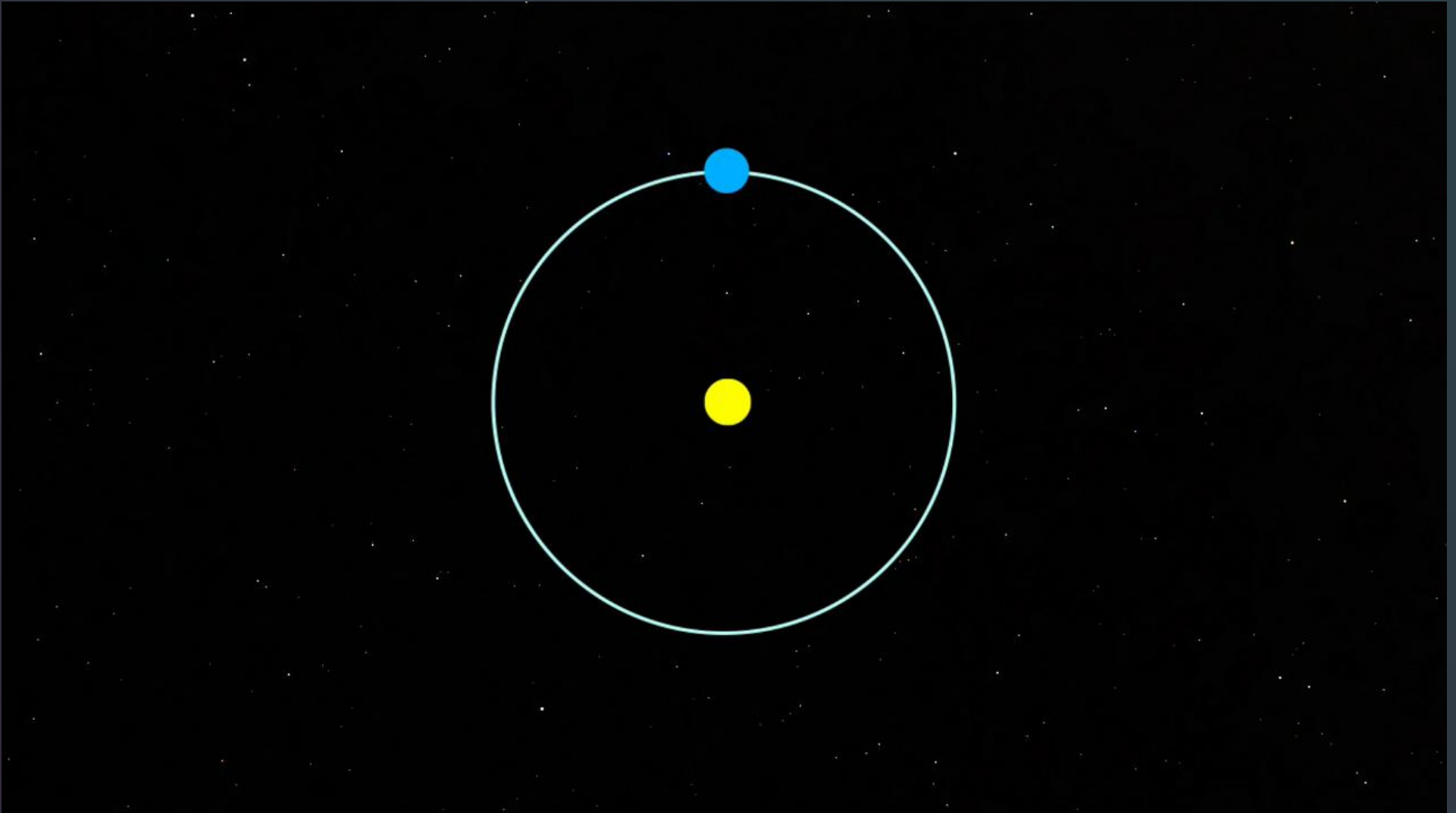


# Magnetic field line meandering

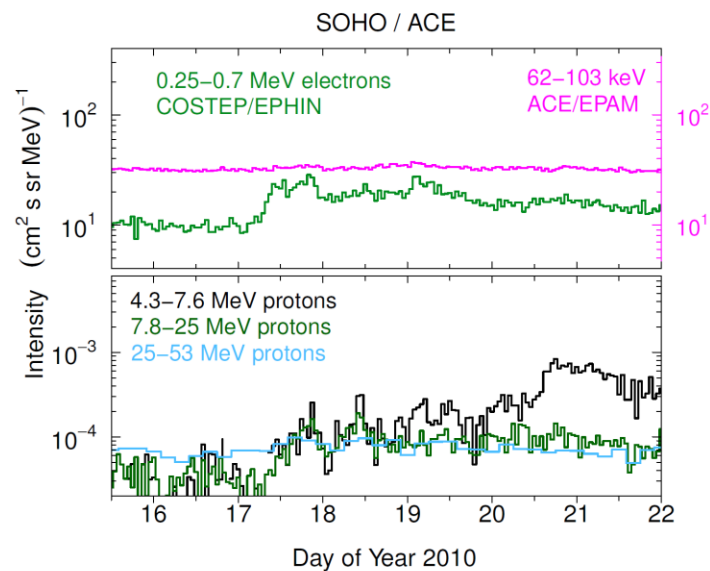
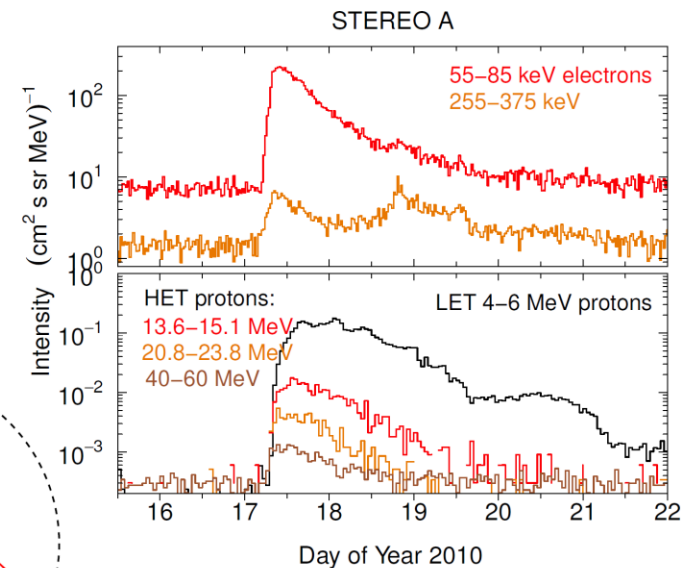
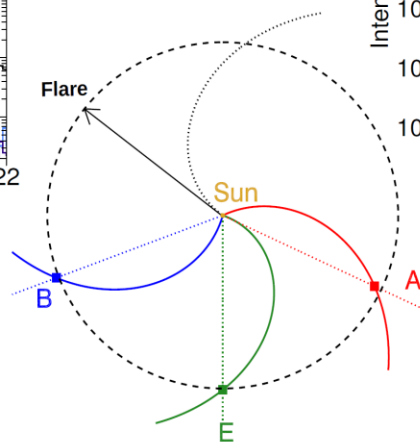
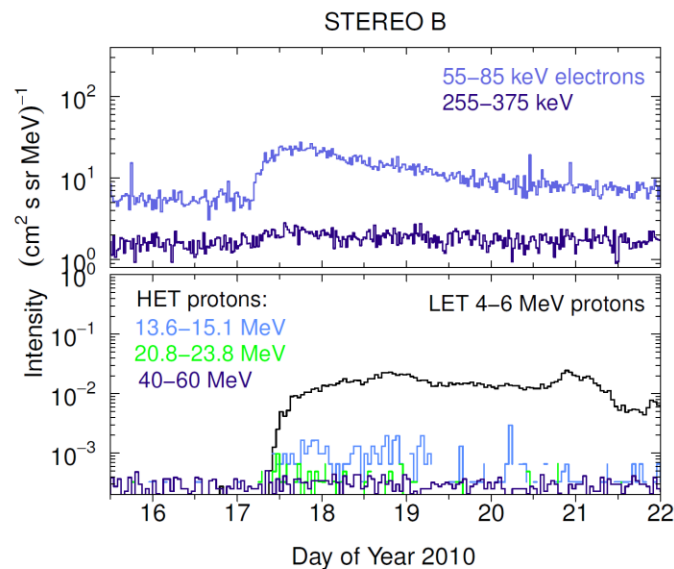


- Turbulence produces meandering in the magnetic field lines
- Contribution to particle transport across the mean field

# Magnetic field line meandering



Laitinen et al, 2016

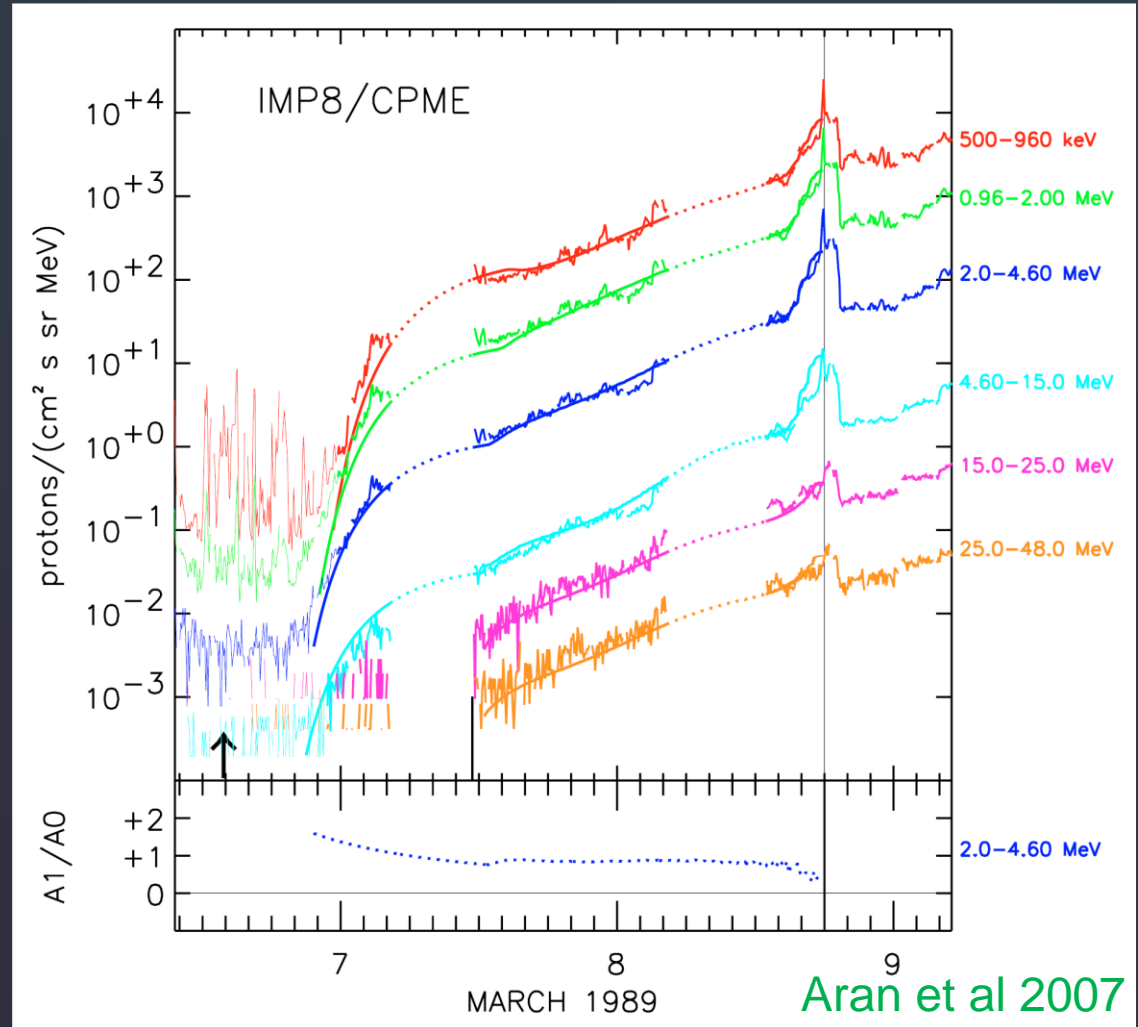


Dresing et al (2012)

# Approaches to modelling for Space Weather

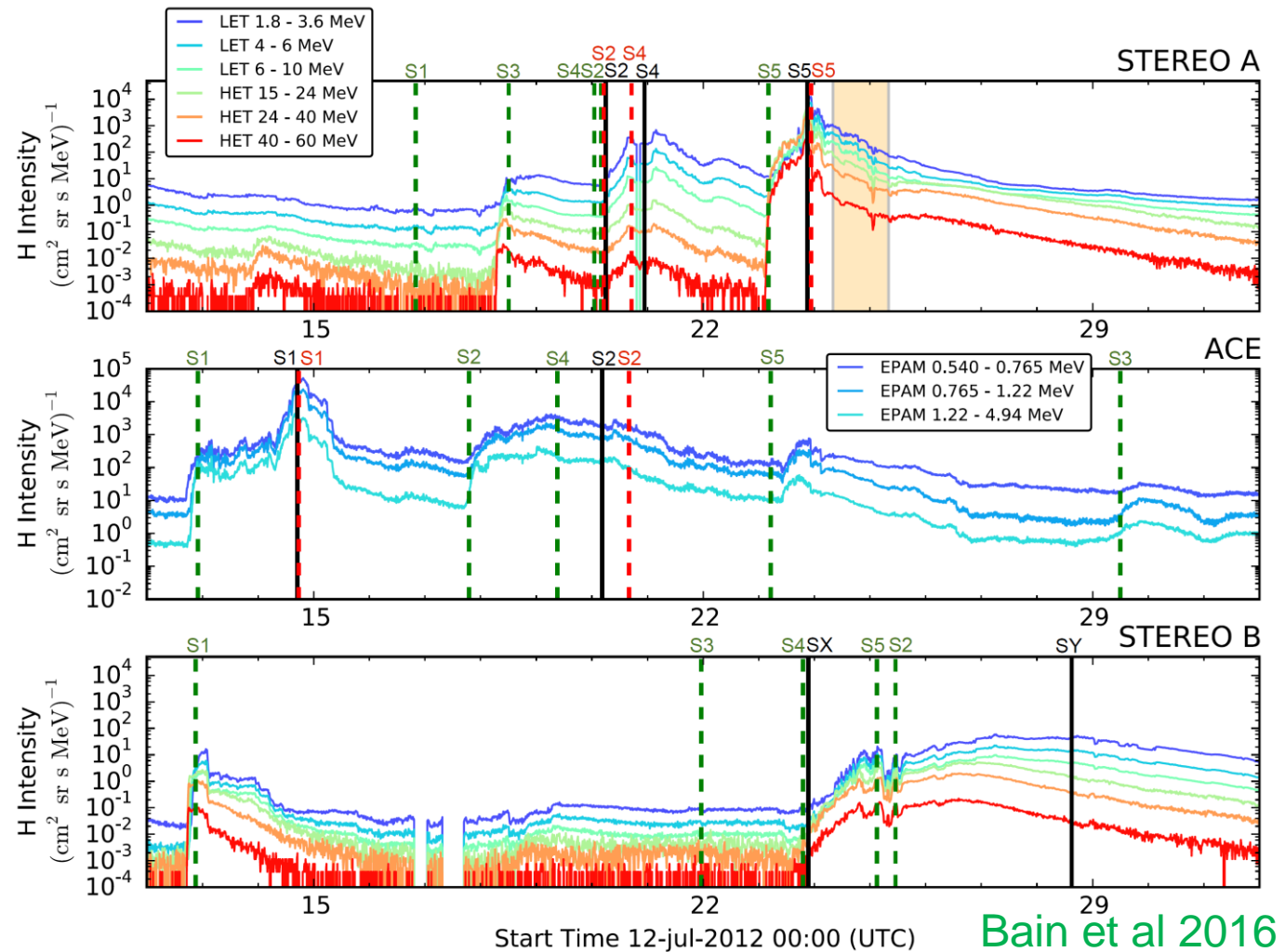
# SOLPENCO

- 1D focussed transport propagation coupled with MHD shock modelling (Aran et al 2005)



# ENLIL + SEP propagator

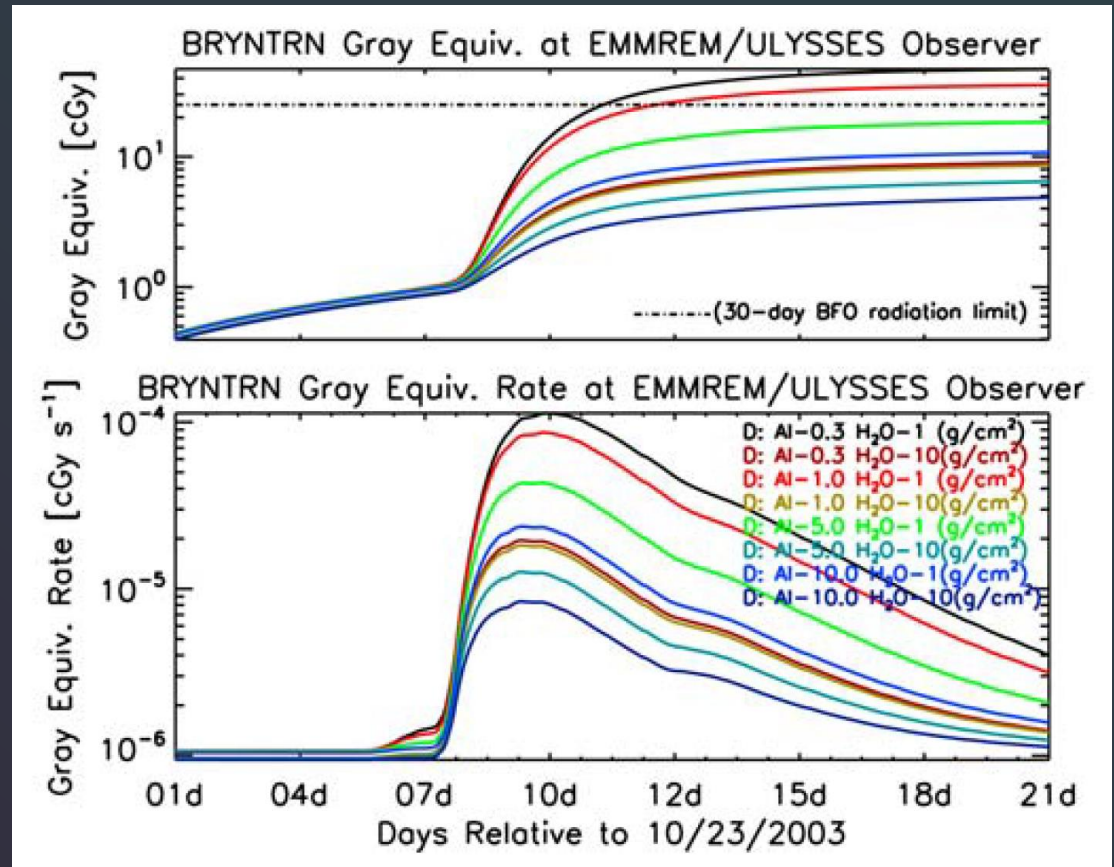
- ENLIL used to determine shock locations and connection to observer - coupled 1D scatter free particle motion (Luhmann et al, 2010, Bain et al 2016)





# EPREM/EMMREM

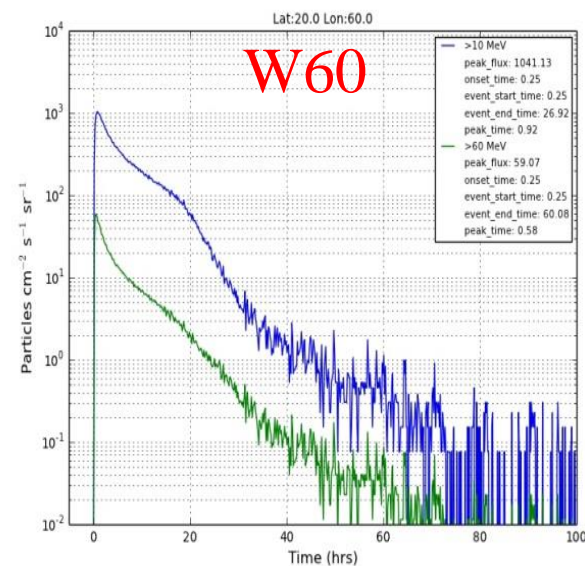
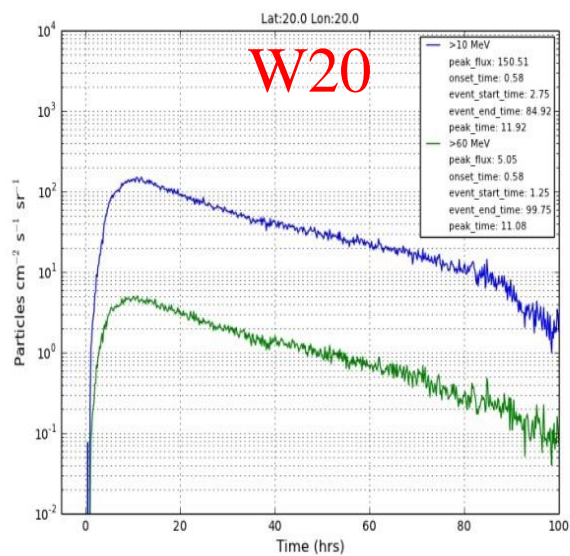
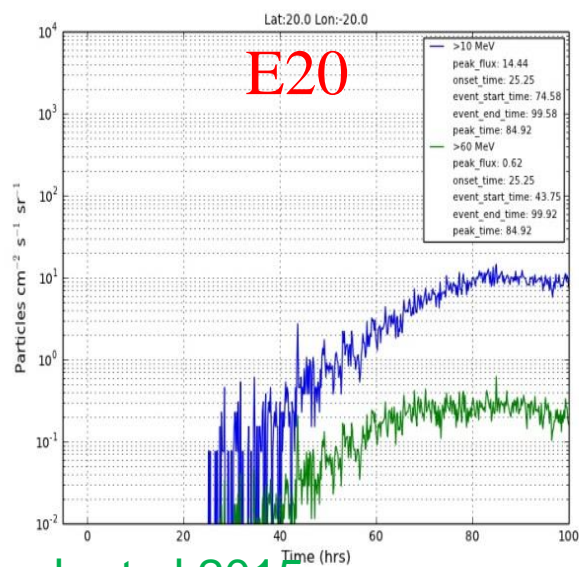
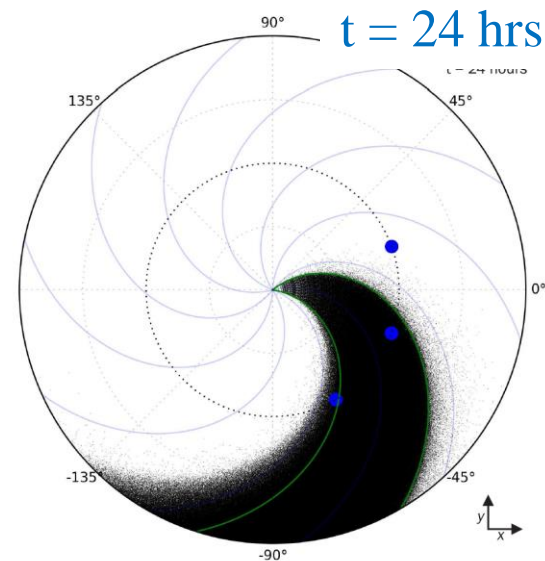
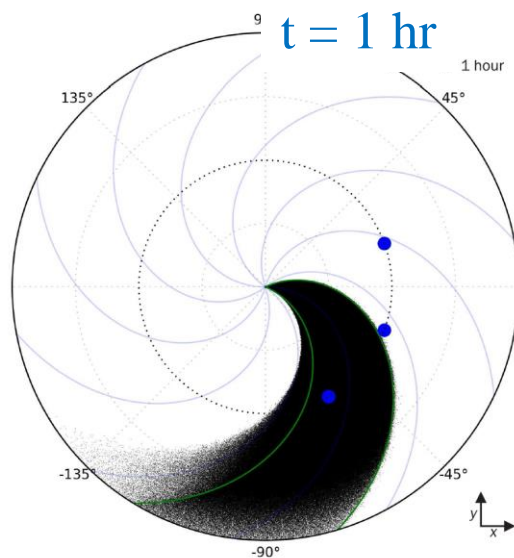
- EPREM couples 1D focussed transport equation with convection-diffusion equation to describe transport in 3D (Schwadron et al 2010)
- EPREM + MHD CME shock simulation in solar corona (Kozarev et al 2013)



Schwadron et al, 2010

# SPARX

- Based on 3D test particle propagation (Marsh et al 2015)



Marsh et al 2015

# Summary

- Many advances and significant challenges in understanding SEP acceleration and propagation
- Simulations show that due to gradient and curvature drifts, and to magnetic field line meandering, a 3D description is needed for SEP propagation
- 3D drift-associated propagation qualitatively reproduces two key heavy ion observations: energy dependence of  $\langle Q \rangle$  and time dependence of Fe/O ratio
- A number of Space Weather models for SEP forecasting are now available